Traditional Chinese medicine, BNG-1, in the recovery of ischemic stroke

Tsong-Hai Lee, MD, PhD

Department of Neurology, Chang Gung Memorial Hospital, Linkou Medical Center, Taoyuan, Taiwan

### Introduction (1)

- Cerebrovascular disease: the second leading cause of death in Taiwan and the greatest number of hospitalizations for neurological diseases.
- Thrombolytic therapy: intravenous thrombolytic therapy, intraarterial thrombolytic treatment, antiplatelet, antithrombotic, and neuroprotective treatments.
- Tissue plasminogen activator: June 1996, within three hours after the stroke onset.

### Introduction (2)

- Huo Xie Shen Nao Powder (BNG-1): a formulation of traditional Chinese medicines, consisting of 4 major (Scutellariae Radix, Angelicae Radix, Glycyrrhizae Radix, Astragali Radix) and 4 minor components.
- Clinically, used to treat the acute stroke patient.

### Introduction (3)

- Inhibits arachidonic acid-induced platelet aggregation and prolong bleeding time.
- Acute general pharmacological effects: no major effects on general behavior, autonomic change, and neurological, cardiovascular, respiratory, gastrointestinal and renal system.
- Ingestion of BNG-1 did not cause any observable acute pharmacotoxic effects in treated SD rats.

## Purpose

To evaluate the efficacy and safety of BNG-1 and compare with placebo in experimental ischemic animal and in clinical trial in ischemic stroke patients.

Material and method: Animal study (1)

- Male SD rats: 180-240 grams, aged 10 weeks.
- Permanent occlusion of the left middle cerebral artery.
- BNG-1 was provided as a dried powder by Braingenesis Biotechnology, Ltd.
- BNG-1 (dissolved in saline): daily doses of 1000 mg/kg orally.
- Vehicle-control group: saline alone.

Animal study (2) Histopathological study

- Decapitated at the seventh day after ischemia.
- •Coronal brain section (30 μm), every 13<sup>th</sup> section, 12 mm length, 30 slices.
- •2% cresyl violet, image analyzer.
- •Infarcted area (mean  $\pm$  SEM mm<sup>2</sup>) of each coronal slice from each animal.
- •Infarcted volume (mean  $\pm$  SEM mm<sup>3</sup>) = infarcted area (mm<sup>2</sup>) × specific distance (390  $\mu$ m).
- •Infarcted volume: BNG-1 vs vehicle groups

### Animal study (3)

Immunohistochemical study of BDNF

- •Reperfusion time points: 4 hours, 1 day, 3 days, 7 days and 28 days after ischemia.
- •Brain sections: 20 µm.
- Avidin-biotin peroxidase (ABC) method.
- Quantitation of the BDNF immunoreactive cells: peri-infarcted penumbra cortex and contralateral nonischemic cortex with an image analyzer.
- •BDNF immunoreactive cells: BNG-1 vs vehicle groups

#### Clinical trial (1)

- Braingenesis Biotechnology Co., LTD.
- Multi-center, phase II, double-blind, randomized, placebo-controlled, parallel-group study.
- Study site: Lin-Kou Chang-Gung Memorial Hospital and Kaohsiung Chang-Gung Memorial Hospital.
- Study Period: from August 27, 2001 to February 06, 2003.
- Subjects: 60 patients (40 completed the study) planned. 47 patients were screened, 43 patients were randomized, 42 were ITT Population.

### Clinical trial (2):

- Medication: randomly assigned to receive aspirin 100 mg qd + BNG-1 3 g/ pack tid or aspirin 100 mg qd + placebo 3 g/ pack tid after meals for 14 days.
- Follow-up period: 24 weeks.
- Safety Endpoints: the incidence of adverse events and significant changes in vital signs, physical examination parameters and laboratory examination parameters.

Clinical trial (3): Inclusion criteria

- 1. Patients of both genders (male and female).
- 2. Age between 40-79 years old.
- 3. No previous history of stroke or previous stroke with modified Rankin scale  $\leq 1$ .
- 4. Patients with the ischemic stroke in cerebral hemisphere within 10 days from onset. This diagnosis was established by a physician with expertise in diagnosis of stroke and CT or MRI scan of the brain was assessed by physicians with expertise in reading this imaging study.

Clinical trial (4): Inclusion criteria

- 5. Patients had a clinical deficit affecting motor, perceptual, or language functions and had a total National Institutes of Health Stroke Scale (NIHSS) score of 8~20 at baseline.
- 6. All patients or their legal representatives provided written informed consent before participating.
- 7. Female patients with negative pregnancy tests.

### Clinical trial (5): Exclusion criteria

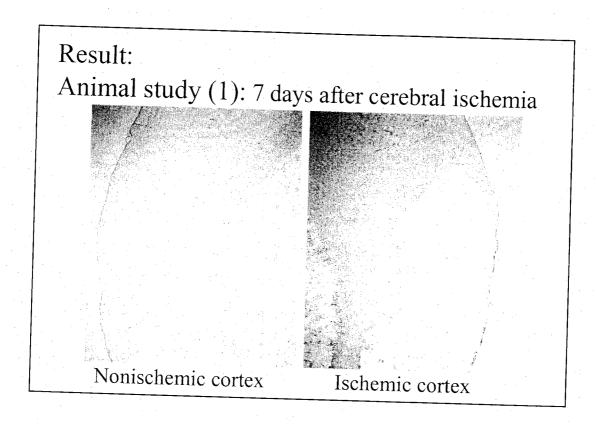
- 1. Patients with a history of other organic cerebral disease within the previous 5 years requiring hospitalization or neuroleptic therapy.
- 2. Patients with significant impairment of renal function (BUN > 1.5 times of the upper limit of normal range or Creatinine > 3 mg/dl); severe liver injury (SGOT and SGPT above double upper limit of normal); severe cardiac disease (New York Heart Association Functional Classification III and IV) or currently under investigation or treatment of any carcinoma.

Clinical trial (6): Exclusion criteria

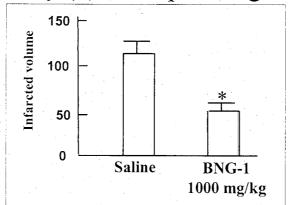
- 3. Patients with another stroke except ischemic stroke or a serious head injury, as well as alcoholism and/or drug abuse in the previous 3 months.
- 4. Female patients who were pregnant, lactating or suspected for possible pregnancy.
- 5. Patients who had participated in another clinical study within the previous 1 month.
- 6. Patients with Insulin-dependent diabetes mellitus (IDDM) or a.c. sugar ≥ 200 mg/dl after treatment for Non-insulin dependent diabetes mellitus (NIDDM).

### Clinical trial (7): Exclusion criteria

- 7. Post-treatment systolic blood pressure greater than 185 mmHg or diastolic blood pressure greater than 110 mmHg.
- 8. Patients were allergic to aspirin.
- 9. Patients had received concomitant medication with Hydergine, Nootropil, Ginex, Trental, Sermion within the previous one month or during the study.
- 10.Platelet count  $< 100 \times 10^3 / \text{ mm}^3$



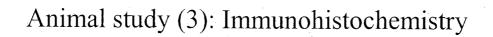
Animal study (2): Histopathological study



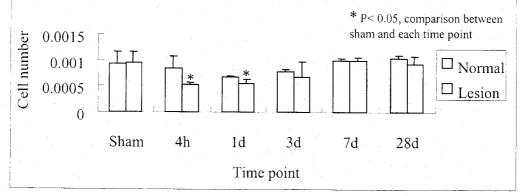
infarcted volume at 7 days after permanent occlusion of left middle cerebral artery

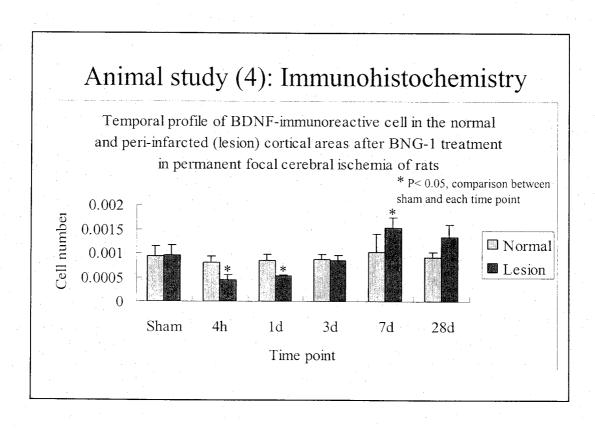
left middle cerebral artery
BNG-1 treated group (62.14 ± 10.73 mm<sup>3</sup>)

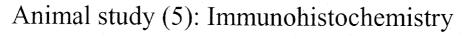
vehicle treated group (115.7  $\pm$  14.4 mm<sup>3</sup>) (P< 0.05)



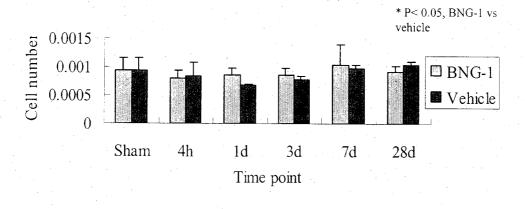
Temporal profile of BDNF-immunoreactive cell in the normal and peri-infarcted (lesion) cortical areas after saline treatment in permanent focal cerebral ischemia of rats

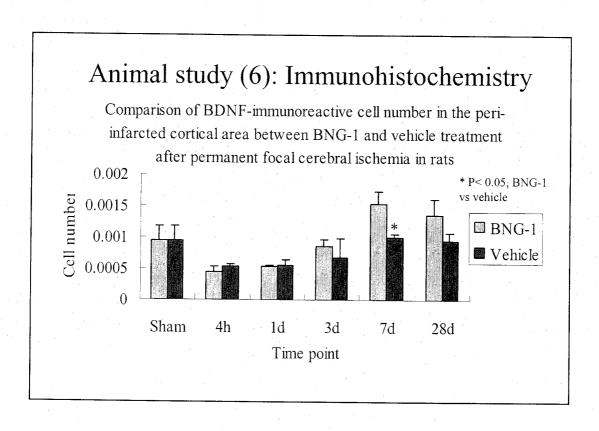




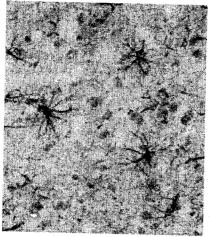


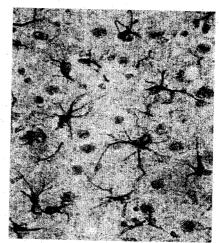
Comparison of BDNF-immunoreactive cell number in the normal cortical area between BNG-1 and vehicle treatment after permanent focal cerebral ischemia in rats





Animal study (7): Double immunostaining in the periinfarcted cortical area at 7 days after cerebral ischemia





Vehicle group

BNG-1 group

Blue: GFAP for reactive astrocyte; Brown: BDNF

Results: Clinical trial

Patient Disposition

Population	Number of Patients (%)			
	BNG-1	Placebo		
All Screened	4	7		
Screen failure	2	1		
Randomized	22 (100.0%)	21 (100.0%)		
ITT population	21 (95.5%)	21 (100.0%)		
Completed the 2-week treatment	21 (95.5%)	20 (95.2%)		
Completed the 3-month follow-up	20 (90.9%)	17 (81.0%)		
Finished the study	17 (77.3%)	17 (81.0%)		
Discontinued from the study	5 (22.7%)	4 (19.0%)		
Withdrew consent	3	2		
Lost to follow-up	0	1		
Adverse events	1	1		
Others*	1	0		

Results: Clinical trial

Baseline Demographics and Disease Characteristics

Characteristics	BNG-1 (N=21)	Placebo (N=21) P-valu		
Age (years)	63.8	64.2	0.906*	
Sex (n %) FEMALE	10 (47.6%)	8 (38.1%)	0.756#	
MALE	11 (52.4%)	13 (61.9%)		
Barthel Index (MEAN)	30.5	22.4	0.086‡	
NIHSS Score (MEAN)	11.9 (3.5)	12.8 (4.2)§	0.393‡	
Preexisting condition (n %)				
Aspirin therapy	21 (100 %)	21 (100 %)		
Atrial fibrillation	3 (14.3 %)	0 (0.0 %)		
Hypertension	13 (61.9 %)	13 (61.9 %)		
History of stroke	3 (14.3 %)	1 (4.8 %)		
Diabetes	9 (42.9 %)	12 (57.1 %)		
Rheumatic heart disease	1 (4.8 %)	0 (0.0 %)		
Cardiomegaly	0 (0.0 %)	1 (4.8 %)		
Hyperlipidemia†	2 (9.5 %)	6 (28.6 %)		
Thrombocytopenia	1 (4.8 %)	1 (4.8 %)		

<sup>\*</sup> Two sample t-test; # Fisher's Exact test; † Hypercholesterolemia and hypertriglyceridemia included ‡ Wilcoxon Rank Sum test; § N=20 Patient No. 301was blind in nature, and was excluded from NiHSS analysis.

# Safety Results

Adverse Events

# Summary of adverse event (AE)

BNG-1	Placebo	P-Value*
N = 22	N = 21	
179	151	
21 (95.5%)	19 (90.5%)	0.607
0	0	1.0
0	1	0.488
1	1	1.0
6	6	
4 (18.2%)	6 (28.6%)	0.488
	N = 22 179 21 (95.5%) 0 0 1 6	N = 22 N = 21  179 151  21 (95.5%) 19 (90.5%)  0 0  0 1  1 1  6 6

Body System	BNG-1	Placebo	p-Value
	N=22	N=21	
Body as a Whole	6 (27.27 %)	10 (47.62 %)	0.215
Cardiovascular System	6 (27.27 %)	0 (0.000 %)	0.021
<b>Endocrine System</b>	3 (13.64 %)	1 (4.762 %)	0.607
Ear, Nose and Throat	8 (36.36 %)	6 (28.57 %)	0.747
Eye	2 (9.091 %)	0 (0.000 %)	0.488
Gastro Intestinal System	13 (59.09 %)	12 (57.14 %)	1.000
Hematology	3 (13.64 %)	2 (9.524 %)	1.000
Metabolic and Nutritional Disorder	3 (13.64 %)	5 (23.81 %)	0.457
Muscular Skeleton System	9 (40.91 %)	8 (38.10 %)	1.000
Nervous System and Psychiatric Disorder	16 (72.73 %)	14 (66.67 %)	0.747
Respiratory System	8 (36.36 %)	5 (23.81 %)	0.510
Reproductive System	1 (4.545 %)	1 (4.762 %)	1.000
Skin	8 (36.36 %)	5 (23.81 %)	0.510
Urinary System	6 (27.27 %)	7 (33.33 %)	0.747

## Adverse Events

• Difference between treatments (by body system):

– Cardiovascular System

Cardiovascular System	BNG-1	Placebo
COSTART Term	N=22	N=21
Number of Patient	6 (27.27 %)	0 ( 0.00 %)
Incidence	9	0
Hypertension*	5 (22.73 %)	0 ( 0.00 %)
Coronary artery disorder#	2 ( 9.09 %)	0 ( 0.00 %)
Hypotension <sup>†</sup>	1 ( 4.55 %)	0 ( 0.00 %)
Angina pectoris <sup>‡</sup>	1 ( 4.55 %)	0 ( 0.00 %)

Difference between groups: P=0.014

\*PN=104,115,124 #PN=130,208 †PN=134 ‡PN=208

## Adverse Events

- Difference between treatments (by COSTART term)
  - -Pain
    - BNG-1 : Placebo = 1/22 : 6/21 (P=0.046)

RBC	BNG-1 N	(%)	Placebo N	(%)	(Fisher's) P-value
Visit 1 (Day (	D)				0.034
ABNORM	ÁL 9	(40.91%)	2	( 9.52%)	
NORMAL		(59.09%)	19	(90.48%)	
Visit 2 (Day 3		,		`	0.484
ABNORMA		(30.00%)	4	(19.05%)	
NORMAL	14	(70.00%)	17	(80.95%)	
Visit 3 (Day					0.015
ABNORMA		(47.62%)	2	(9.52%)	
NORMAL		(52.38%)		(90.48%)	
Visit 4 (Day 9		(		•	0.009
ABNÒRM/		(42.86%)	1	(5.00%)	
NORMAL		(57.14%)		(95.00%)	
Visit 5 (Day		•		• • • • • • • • • • • • • • • • • • • •	0.067
ABNORMA		(38.10%)	2	(10.00%)	
NORMAL		(61.90%)		(90.00%)	
Visit 6 (Day		, ,			0.004
ABNORMA		(61.90%)	3	(15.00%)	
NORMAL		(38.10%)		(85.00%)	
Visit 7 (Weel				,	0,751
ABNORM		(42.86%)	7	(35.00%)	
NORMAL		(57.14%)		(65.00%)	
Visit 8 (Weel		,			0.731
ABNORMA		(40.00%)	5	(29.41%)	
NORMAL		(60.00%)		(70.59%)	
Visit 9 (Weel		,,			0.166
ABNORMA		(58.82%)	5	(29.41%)	
NORMAL	7	(41.18%)	12	(70.59%)	

## Changes in Laboratory Data

- Differences between treatments
  - Abnormal changes in RBC during treatment period

Treatment Period	BNG-1	Placebo	P-Value
NORMAL to NORMAL	5 ( 38.46%)	15 ( 78.95%)	0.030
NORMAL to ABNORMAL	8 ( 61.54%)	4 ( 21.05%)	

## Vital Signs

- Heart rate and Blood pressure: no significant differences between treatments
- Decrease in body temperature in Placebo group at Visit 3
  - -(Visit 1 to Visit 3:  $36.74 \pm 0.40$ °C to  $36.50 \pm 0.45$ °C, P = 0.008)

## Physical Examination

- Differences between treatments:
  - -Cardiovascular System at Visit 8
    - BNG-1::(10%) became abnormal, 2 stayed (10%) abnormal
    - Placebo: 0 (0%) and 0 (0%) accordingly

Brain-derived neurotrophic factors (BDNF)

- neurotrophin family.
- highest level in the cerebral cortical and hippocampal neurons.
- cultured rat hippocampal, septal and cortical neurons: protect against glutamate-induced or glucose deprivation-induced neuronal damage.

Brain-derived neurotrophic factors (BDNF)

- improve the long-term potentiation and cognitive functions after transient forebrain ischemia in rat.
- protect basal forebrain cholinergic neurons after axotomy in rat brain.
- intraventricular infusion protect the ischemia-vulnerable hippocampal CA1 neurons against ischemic injury.

## Conclusion of animal study:

- Traditional Chinese medicine, BNG-1, has a protective effect on the ischemic cortical neurons against focal cerebral ischemia.
- It is possible that the protective effect of BNG-1 may act through the neurotrophic system.

### Conclusion of clinical trial:

- This phase II trial involved 42 patients, 21 received BNG-1 plus aspirin and 21 received placebo plus aspirin.
- Throughout the 2 weeks of treatment and 6 months of follow-up period, the results showed BNG-1 was well tolerated by all 21 patients.
- These results support the view that BNG-1 should be further investigated in a larger sample size to evaluate its efficacy.